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## BEFORE THE ARIZONA CORPORATION COMMISSION

COMMISSIONERS

Arizona Corporation Commission DOCKETED

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MIKE GLEASON - Chairman WILLIAM A. MUNDELL JEFF HATCH-MILLER KRISTIN K. MAYES GARY PIERCE

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AZ CORP COMMISSION DOCKET CONTROL

IN THE MATTER OF:

DOCKET NO. S-20551A-07-0521

KENNETH HOWARD FRALEIGH and LINDA JEAN FRALEIGH, husband and wife

RESPONDENTS.

## **FOURTH** PROCEDURAL ORDER

## BY THE COMMISSION:

On September 13, 2007, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing ("Notice") against Kenneth Howard Fraleigh and Linda Jean Fraleigh, husband and wife ("Respondents"), in which the Division alleged multiple violations of the Arizona Securities Act ("Act") in connection with the offer and sale of securities in the form of investment contracts.

Respondents were duly served with copies of the Notice.

On October 10, 2007, Respondents filed a request for a hearing and an Answer.

On October 12, 2007, by Procedural Order, a pre-hearing conference was scheduled for November 5, 2007.

On October 31, 2007, Respondents filed a Motion for a Continuance ("Motion") due to a scheduling conflict. Respondents indicated that the Division did not object to the Motion.

On November 1, 2007, by Procedural Order, the pre-hearing conference was continued from November 5, 2007, to December 10, 2007.

On December 10, 2007, the Division and Respondents appeared through counsel. Issues concerning the case were discussed along with future scheduling matters. Counsel agreed to the scheduling of a status conference and a hearing.

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On December 11, 2007, by Procedural Order, a status conference was scheduled on February 1 20, 2008, a hearing scheduled to commence on April 28, 2008, and the exchange of Witness Lists and 2 Exhibits established. 3 On February 12, 2008, the division filed a Motion to Extend Deadline for it to provide copies 4 of its Witness List and copies of Exhibits from February 18, 2008, to March 28, 2008, because it has 5 reached a tentative settlement with Respondents. The Division further indicated that Respondents did not oppose its Motion. 7 Accordingly, the Division's Motion should be granted. 8 IT IS THEREFORE ORDERED that a the Division's Motion to Extend Deadline is hereby 9 granted and the Division shall provide copies of its Witness List and copies of its Exhibits to 10 Respondents' counsel by March 28, 2008, with courtesy copies provided to the presiding 11 Administrative Law Judge pending a resolution of the proceeding. 12 IT IS FURTHER ORDERED that the Respondents shall provide the Division with copies of 13 their Witness List and copies of their Exhibits by March 28, 2008, with courtesy copies provided to 14 the presiding Administrative Law Judge pending a resolution of the proceeding. 15 day February, 2008. 16 17 18 19 ADMINISTRATIVE LAW JUDGE 20 21 Copies of the foregoing were mailed/delivered this 14th day of February, 2008 to: 22 Trent D. Stewart Matt Neubert, Director 23 STEWART LAW OFFICES Securities Division 1911 East Lexington Avenue ARIZONA CORPORATION COMMISSION 24 Gilbert, AZ 85234 1300 West Washington Street Attorney for Respondents Phoenix, Arizona 85007 25 26 27 Secretary to Marc E. Stern 28